

1000 Riverbend Drive, Suite L, St. Rose, LA 70087

Phone: 504-464-0181 Fax: 504-464-0182

E-Mail: [info@jwallen.com](mailto:info@jwallen.com)

[www.JWAllen.com](http://www.JWAllen.com)

**C-TPAT Inland Carrier Profile Questionnaire**

**I. Inland Carrier Data**

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Years in business: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Number of employees: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Type of Carrier: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Contact Party: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Email: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Phone: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Fax: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Other Name(s) Your Company Uses: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

C-TPAT Certification Status \_\_\_\_\_\_Accepted, see attached certification

\_\_\_\_\_\_Applied, but certification not received

\_\_\_\_\_\_Application for C-TPAT is in process

\_\_\_\_\_\_No plans for C-TPAT

\_\_\_\_\_\_Other, please specify\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**II. Operations Overview (Provide a brief summary of the services that you supply.)**

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**III. Security Programs**

Does your company participate in any Customs Industry Partnership Programs or other cargo security programs? Examples as follows:

\_\_\_\_\_\_\_ C-TPAT (Customs-Trade Partnership Against Terrorism)

\_\_\_\_\_\_\_ CIP (Carrier Initiative Program)

\_\_\_\_\_\_\_ SCIP (Super Carrier Initiative Program)

\_\_\_\_\_\_\_ BASC (Business Anti-Smuggling Coalition)

\_\_\_\_\_\_\_ FAST (Free and Secure Trade)

\_\_\_\_\_\_\_ Other, please list: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**VI. Inland Carrier Security Procedures**

Does your company have any policies or procedures related to the following? Please provide written documentation, such as the table of contents from your procedures manual or a copy of actual procedures, to support the answers given in this section

**1. Conveyance Security**

Integrity should be maintained to protect against the introduction of unauthorized personnel and material. Conveyance security procedures should include the physical search of all readily accessible areas, securing all internal/external compartments and panels, and reporting cases in which unmanifested materials, or signs of tampering, are discovered.

**\_\_\_\_\_\_\_** Tamper-proof/tamper-evident seals

\_\_\_\_\_\_\_ Physical search procedures

\_\_\_\_\_\_\_ Employee identification badges

\_\_\_\_\_\_\_ Other, please describe\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**2. Physical Security**

All buildings and rail yards should be constructed of materials, which resist unlawful entry and protect against outside intrusion. Physical security should include:

\_\_\_\_\_\_\_ Adequate locking devices for external and internal doors, windows, gates and fences

\_\_\_\_\_\_\_ Adequate lighting provided inside and outside the facility to include parking areas

\_\_\_\_\_\_\_ Perimeter fencing

\_\_\_\_\_\_\_ Segregation and marking of international, domestic, high-value, and dangerous goods cargo within the warehouse by a safe, caged, or otherwise fenced-in area.

\_\_\_\_\_\_\_ Separate parking area for private vehicles separate from the shipping, loading dock, and cargo areas.

\_\_\_\_\_\_\_ Other, please describe\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**3. Access Controls**

Unauthorized access to facilities and conveyances should be prohibited. Controls should include the positive identification of all employees, visitors and vendors as well as procedures for challenging unauthorized/unidentified persons.

**\_\_\_\_\_\_\_** Employee identification badges

\_\_\_\_\_\_\_ Warning signs

\_\_\_\_\_\_\_ Visitor Log books

\_\_\_\_\_\_\_ Other, please describe\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**4. Procedural Security**

Procedures should be in place to protect against unmanifested material being introduced aboard the conveyance.

\_\_\_\_\_\_\_ Verification that cargo is properly marked, weighed, counted and loaded

\_\_\_\_\_\_\_ Create, receive and maintain proper documentation

\_\_\_\_\_\_\_ Verification of seals on containers

\_\_\_\_\_\_\_ Internal/external communications systems in place to contact internal security personnel or local law enforcement police

\_\_\_\_\_\_\_ Overage and shortage procedures

\_\_\_\_\_\_\_ Other, please describe\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**5. Manifest Procedures**

Companies should ensure that manifests are complete, legible, accurate, and submitted in a timely manner to Customs.

**\_\_\_\_\_\_\_** Manifest review and submission

\_\_\_\_\_\_\_ Other, please describe\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**6. Personnel Security**

Companies should conduct employment screening and interviewing of prospective employees to include:

**\_\_\_\_\_\_\_** Employment Application

\_\_\_\_\_\_\_ Interviewing

\_\_\_\_\_\_\_ Reference Checks

\_\_\_\_\_\_\_ Criminal History Checks

\_\_\_\_\_\_\_ Drug Screening

\_\_\_\_\_\_\_ Fingerprinting

\_\_\_\_\_\_\_ Other, please describe\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**7. Education and Training Awareness**

A security awareness program should be provided to employees including recognizing internal conspiracies, maintaining cargo integrity, and determining and addressing unauthorized access. These programs should encourage active employee participation in security controls.

Please list security related training programs and seminars provided to your employees:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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**VI. Certification**

I hereby certify that the information given by me in completing this questionnaire is true and correct to the best of my knowledge. Should significant changes occur in our program, we will provide J.W. Allen & Company, Inc. notification in writing of these changes.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date